

Case No. 59706

09/979522 #3

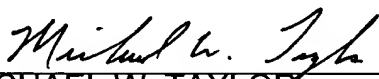
DIRECTOR, U.S. PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231

In re Application of: **AMPELAS ET AL.**
Serial No.: **Not yet assigned**
Filed: **Herewith**
For: **ELECTRONIC TRANSACTION SYSTEM USING A PORTABLE
PERSONAL DEVICE**
Sir:

Transmitted herewith is an INFORMATION DISCLOSURE STATEMENT in the
above-identified application.

1. ☒ This IDS is submitted under 37 C.F.R. § 1.97. No fee is required.
2. ☐ This IDS is submitted under 37 C.F.R. § 1.97(c). Enclosed is a check in the
amount of \$ 180.00.
3. ☐ This IDS is submitted under 37 C.F.R. § 1.97(c) and (e). No fee is required.
4. ☐ This IDS is submitted under 37 C.F.R. § 1.97(d) and (e). Enclosed is a check
in the amount of \$ 130.00 to cover the petition fee.
5. ☒ **The Commissioner is hereby authorized to charge or credit any
discrepancies in fee amounts to Deposit Account No. 01-0484.**

Date: November 6, 2001



MICHAEL W. TAYLOR
Reg. No. 43,182

979522

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
AMPELAS ET AL.)
 Serial No. **Not Yet Assigned**)
 Filing Date: **Herewith**)
 For: **ELECTRONIC TRANSACTION SYSTEM**)
USING A PORTABLE PERSONAL)
DEVICE)

CITATION UNDER 37 CFR \$1.97

Director, U.S. Patent and Trademark Office
 Washington, D.C. 20231

Sir:

Attached is Form PTO-1449 listing several references for consideration in the examination of the above-identified application. A copy of each reference is also enclosed. It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR \$1.97 and Section 609 of the MPEP.

Respectfully submitted,

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL" MAILING LABEL NUMBER 86747059101205

DATE OF DEPOSIT November 6, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20031

Kristin Thanski
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09/979522

#3

INFORMATION DISCLOSURE STATEMENT	Atty Docket:	59706
	Serial No.:	Not Yet Assigned
	Applicant:	AMPELAS ET AL.
	Filing Date:	Herewith
	Group:	

U.S. PATENT DOCUMENTS							
Examiner Initials		Document Number	Date	Name	Class	Sub Class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub Class	Translation
	AI	0670556	9/6/95	EP	G06K7	06	English Abstract
	AJ	0698987	2/28/96	EP	H04M15	00	
	AK	96/42175	12/27/96	WO	H04Q7	22	
	AL	98/28903	7/2/98	WO	H04M15	00	
	AM	19728360	1/7/99	DE	G06K7	01	English Abstract
	AN	19845590	4/6/00	DE	G06F17	40	English Abstract
	AO						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
	AP	
	AQ	
	AR	

EXAMINER:	DATE CONSIDERED:
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.